

Summary of the County HIPAA Readiness Survey, as of 9/5/03

Below are the summary of the County HIPAA Health Insurance Portability and Accountability Act (HIPAA) Readiness survey distributed by the Department of Mental Health as announced in the DMH Information Notice #03-07, dated 7/22/03. The summary includes information submitted to DMH from 40 counties, as of September 5, 2003, and are from mental health programs only.

Alameda	Mendocino	San Francisco	Ventura
Butte	Merced	San Joaquin	
Contra Costa	Modoc	San Luis Obispo	
El Dorado	Monterey	Santa Barbara	
Fresno	Napa	Santa Clara	
Glenn	Orange	Shasta	
Humboldt	Placer	Sierra	
Kern	Plumas	Sonoma	
Kings	Riverside	Stanislaus	
Los Angeles	Sacramento	Sutter/Yuba	
Madera	San Benito	Tehama	
Marin	San Bernardino	Trinity	
Mariposa	San Diego	Tuolumne	

Part B - County Implementation Progress

B.1	Security Phase	Not Started	In Progress	Completed
	HIPAA Teams Formed	2	10	28
	Project Initiation	5	15	20
	Initial Assessment	4	12	24
	Trading Partners	8	23	9
	Project Plan	6	25	9
	Detailed Assessment	12	19	9
	Implementation	20	19	1

B.2	Transactions Phase	Not Started	In Progress	Completed
	HIPAA Teams Formed	1	9	30
	Project Initiation	1	17	22
	Initial Assessment	1	15	24
	Trading Partners	4	27	9
	Project Plan	1	31	8

For each transaction, select the status closest to HIPAA compliance for your County to State interface:

B.3	Outbound Transactions	Not Used	Not Started	Assessed	System Test	HIPAA Valid	B2B Test	Ready to test w/State by 8/18
	837P	2	3	21	10	1	3	7
	837I	14	4	17	4	0	1	4
	276	22	8	6	3	0	1	1
	270	20	6	9	2	2	1	2
	278	28	4	5	1	2	0	0
	997	28	5	5	1	0	1	1

Summary of the County HIPAA Readiness Survey, as of 9/5/03

		Not Used	Not Started	Assessed	System Test	HIPAA Valid	B2B Test	Ready to test w/State by 8/18
B.4	Inbound Transactions							
	835	2	4	22	10	0	2	5
	277	22	7	7	3	0	1	1
	271	20	6	8	3	2	1	2
	278	28	4	5	1	2	0	0
	997	3	3	23	9	0	2	3

Part B - County Implementation Progress

B.5 Which solution is your county using to achieve HIPAA compliance ?

Re-engineered system	9
Re-engineered system with in-house translator	3
Re-engineered system with commercial translator	12
Re-engineered system with clearinghouse	2
Current system with in-house translator	7
Current system with commercial translator	5
Current system with clearinghouse	2

Part C - Submitting Short-Doyle / Medi-Cal (SD/MC) Claims

C.1 Once in production with 837 claim format, will your county have the ability to submit claims in the current SD / MC format with dates of service:

After 10/16/03	8
Before 10/17/03	4
Both	16
Neither	12

C.1.A. Is your county planning on submitting claims with dates of service before 10/17/03 in:

Current SD/MC format	32
837 format	8

C.2 Assuming the 837 / 835 mapping is complete by 7/13/03 and SD/MC testing is available by 8/18/03, would your county be able to submit test claims?

Yes	14
No	26

C.3 In addition to an original claim submission, will your system be able to submit other HIPAA-compliant claim submissions by 10/16/03?

Replacement	Yes	9
Void	Yes	6
Replacement	No	31
Void	No	34

Summary of the County HIPAA Readiness Survey, as of 9/5/03

Part C - Submitting Short-Doyle / Medi-Cal (SD/MC) Claims

C.4	Once in production with 837 claim, will your county:		
	Be able to process 835 payment / advice?	Yes	32
		No	8
	Require an explanation of balances (EOB) file?	Yes	37
		No	3
	Require an error correction report (ECR)?	Yes	36
		No	4
C.5	Does your county anticipate any of your providers will submit paper claims due to HIPAA implementation on 10/16/03?		
	Yes		24
	No		16
	C.5a If yes, will your county pass the additional amount of HIPAA paper claims on to the state?		
	Yes		5
	No		19

Part D - Software Packages used for HIPAA Compliance

D.1	First software package:		
	In Use	Translator	15
		Project Planning	2
		Other	6
	Considering	Translator	10
		Project Planning	0
		Other	0
	None		7

Part D - Software Packages used for HIPAA Compliance

D.1b	Second software package:		
	In Use	Translator	2
		Project Planning	0
		Other	5
	Considering	Translator	0
		Project Planning	0
		Other	1
	None		31

Summary of the County HIPAA Readiness Survey, as of 9/5/03

D.1c	Third Software Package:		
	In Use	Translator	1
		Project Planning	1
		Other	2
	Considering	Translator	1
		Project Planning	0
		Other	0
	None		35

D.2 Vendor/Contractor Assistance for HIPAA Compliance

We are currently using a vendor/contractor	27
We are planning to use a vendor/contractor	4
We have no plans of using a vendor/contractor	9

D.2a If you are planning to use or are using a vendor/contractor, please select area of assistance:

Assessment	7
Awareness	6
Privacy	6
Project Management	8
Project Planning	10
Remediation	11
Security	14
Training	6
Transactions	28

Part E - Outreach to Trading Partners

E.1 Does your county have an outreach plan?

Yes	22
No	18

E.2. Is the execution of the plan on schedule?

Yes	18
No	22

E.3. Did your county file an extension for implementing the HIPAA Transaction Code Sets Rule?

Submitted	40
Not Submitted	0

E.3a If "submitted":

One for the entire county	28
One for each group	12

E.4. Have all covered entity boundaries been defined?

Yes	38
No	2

Summary of the County HIPAA Readiness Survey, as of 9/5/03

Part F - Provider Communications

F.1. Have county providers been notified of the impact of HIPAA to their business?

Yes	29
No	11

F.2. Did your county use a survey to determine local HIPAA provider readiness ?

Yes	18
No	22

Part F - Provider Communications

F.3. Has the county determined the number of transactions and system interfaces impacted by HIPAA for providers?

Yes	23
No	17

F.4. Is the county planning on implementing provider training program(s)?

Yes	16
No	24